



Role of Entropies in Quantum Communication

LECTURE I

Nilanjana Datta
University of Cambridge, U.K.

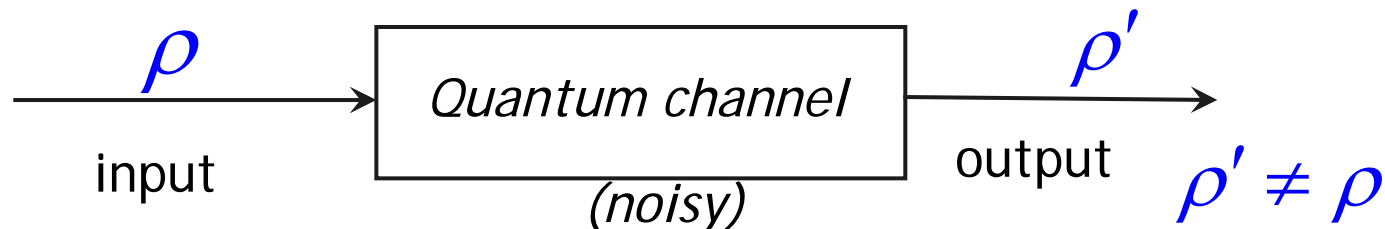
See lecture notes on: <http://www.qi.damtp.cam.ac.uk/node/223>

“Quantum communication is the art of transferring a quantum state from one place to another.” [Gisin]

- quantum states encode **information** - classical or quantum;
- quantum communication allows transmission of information

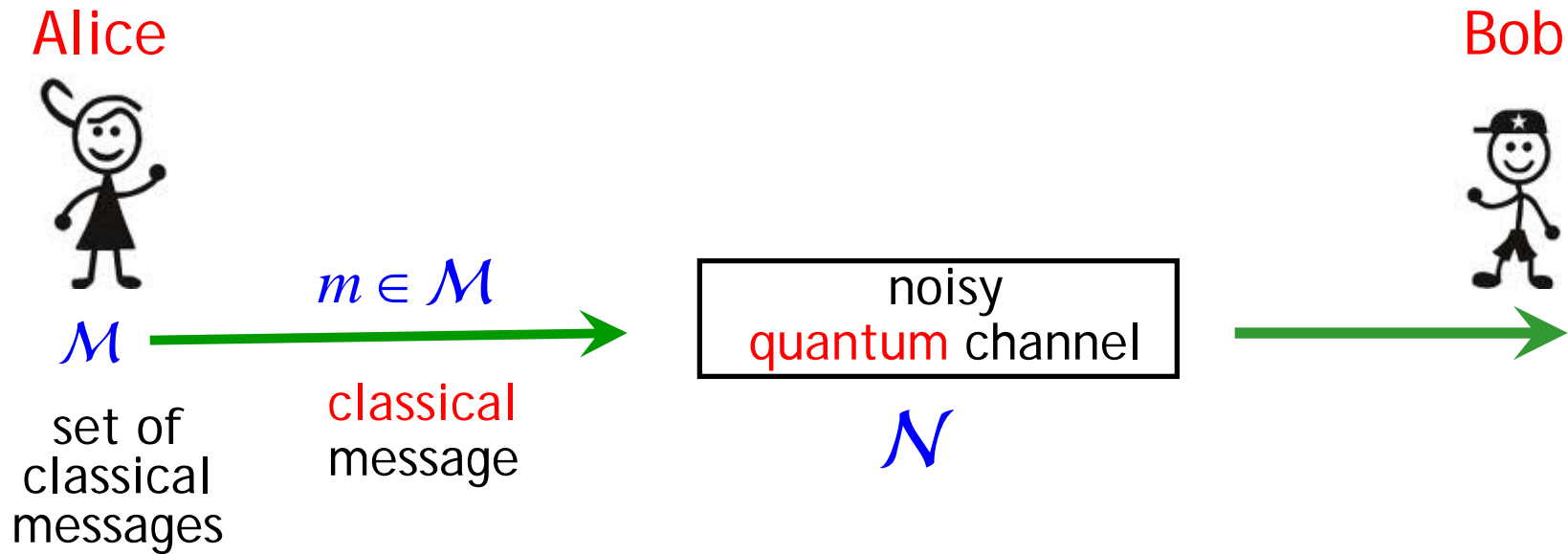
The main hurdle in the path of quantum communication:

- Presence of **noise** in the quantum channel
- **Disturbs** the **quantum state** sent through the **quantum channel**
- **Distorts** the **information** encoded in the state

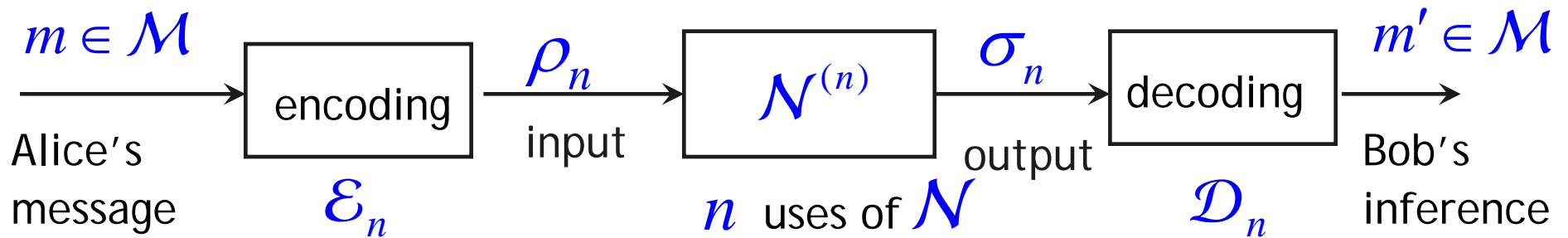


To overcome the effects of noise
use *quantum error-correcting codes*

e.g. consider the case of *classical information transmission*;



- Alice **encodes** her messages into suitable *quantum states* (codewords)
- she sends these *codewords* through (**multiple uses** of) the *channel*



- If $m' \neq m$ then an **error** occurs!
- **Reliability**: e.g. if Probability of error $\rightarrow 0$ as $n \rightarrow \infty$

- Rate of info transmission = number of bits of message transmitted per use of the channel

- **Aim**: achieve **reliable transmission** whilst **maximizing the rate**
- There is a **fundamental limit** on the **rate of reliable info transmission** (depends on the channel)

(a property of the channel)

Classical capacity of the quantum channel \mathcal{N} \coloneqq **max. rate** of reliable transmission of classical info through \mathcal{N}

- An important class of problems in QIT concerning the **transmission of information** through quantum channels:
evaluating the **capacities** of a quantum channel

Another essential task in QIT :

- Efficient **storage of information** emitted by a
quantum info source:

This involves **reliable** compression of quantum info

i.e. Quantum Data Compression

- Why do we need to compress information?
- What is meant by “reliable”?
- What is a quantum info source?

It can also be viewed as a **quantum communication task**

- **Quantum info source:** characterized by an ensemble

$$\mathcal{E} = \{p_i, |\psi_i\rangle\}$$

source ensemble

of pure states $|\psi_i\rangle \in \mathcal{H}$

& a priori probs p_i

$ \psi_i\rangle$: signal emitted with prob. p_i
--

In general $\langle\psi_i|\psi_j\rangle \neq \delta_{ij}$

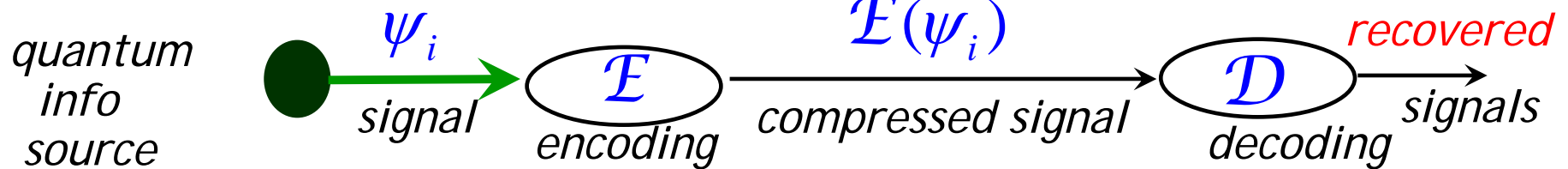
source state

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$

- Equivalently the source is characterized by $\{\rho, \mathcal{H}\}$

Quantum Data Compression

■ Storage setting:



$$\psi_i \equiv |\psi_i\rangle\langle\psi_i| \in \mathcal{D}(\mathcal{H});$$

$$\mathcal{E}(\psi_i) \in \mathcal{D}(\mathcal{H}_c)$$

set of density matrices
acting on \mathcal{H}

$$\dim \mathcal{H}_c < \dim \mathcal{H}$$

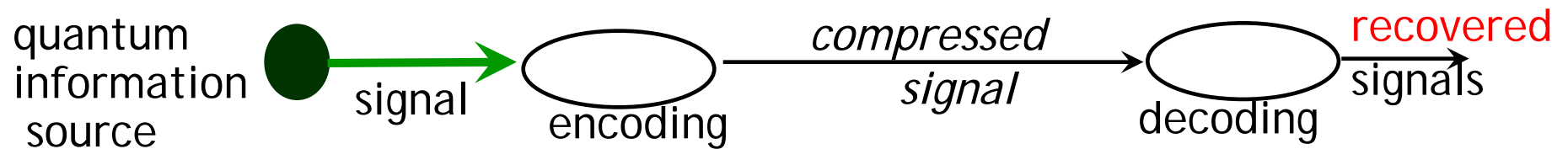
$\log(\dim \mathcal{H}_c)$: number of qubits needed
to compress the signals

Quantity
of interest: **minimum** number of qubits needed to compress the signals

- Storage setting:



minimum number of qubits Alice needs to compress the signals

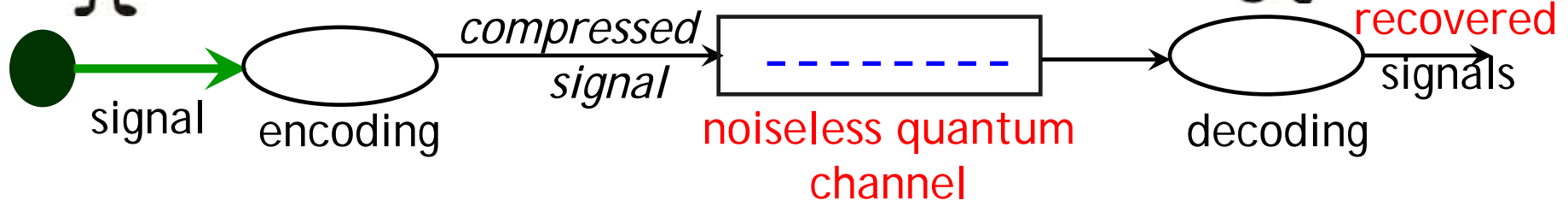


An Equivalent Scenario for Quantum Data Compression

- Communication setting:

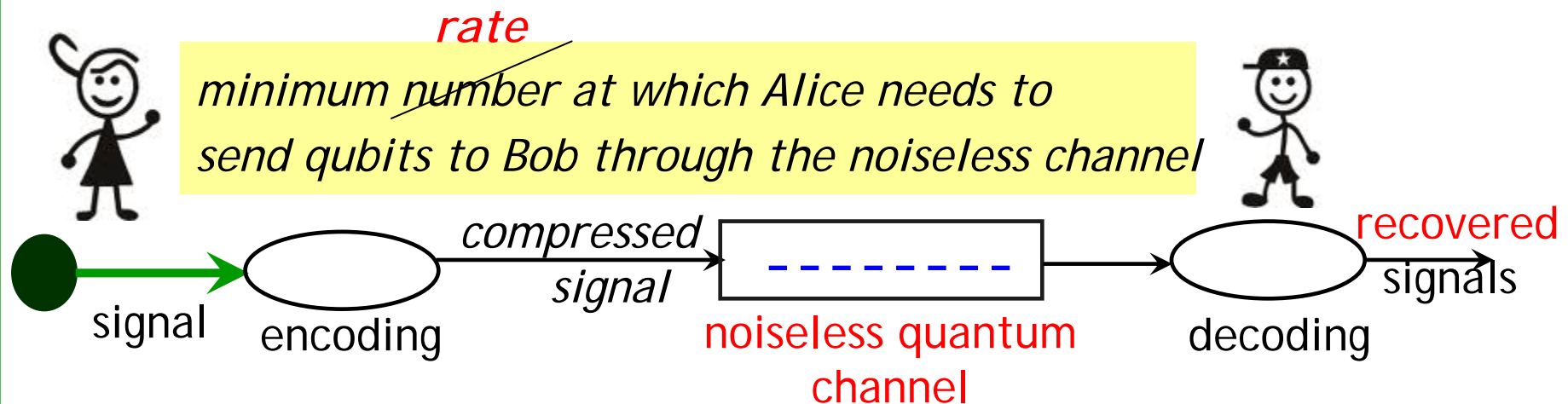


minimum number of qubits that Alice needs to send to Bob through the noiseless channel



Usually one uses the source a multiple number (n) of times

■ Communication setting:



The fundamental operational quantities:

optimal rates of info-processing tasks

- Info transmission: **maximum rate** of reliable info transmission through a **noisy** quantum channel
capacity (of the channel)
- Storage of information (data compression) :
minimum rate of reliable info transmission through a **noiseless** quantum channel
data compression limit (of the source)

- Aim: to evaluate these optimal rates :
i.e. find mathematical expressions for them in terms of
entropic quantities

These **optimal rates** were initially evaluated under the **assumption** of an:

“asymptotic, memoryless setting”

- info sources & channels are assumed to be **memoryless**
- they are **used** an **infinite number of times**:
(asymptotic limit) $n \rightarrow \infty$
- one requires that the error incurred vanishes in this limit

e.g. Memoryless channel

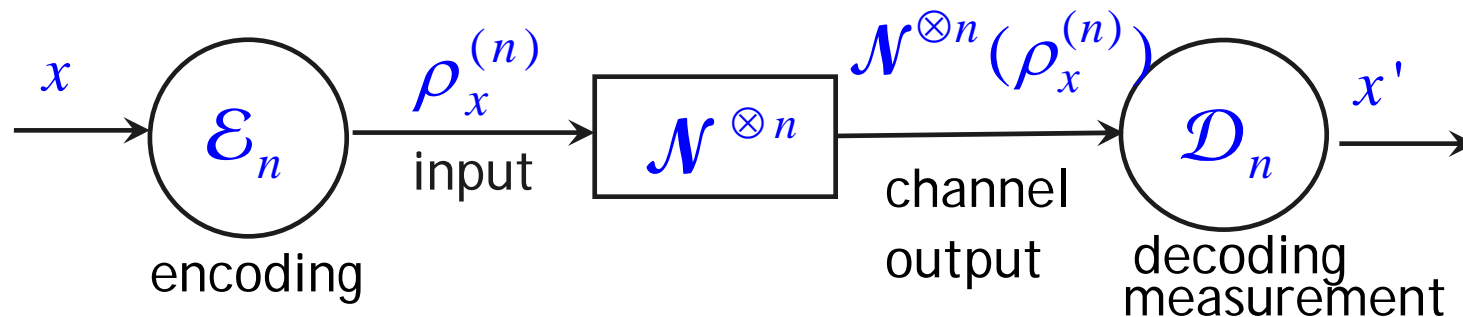
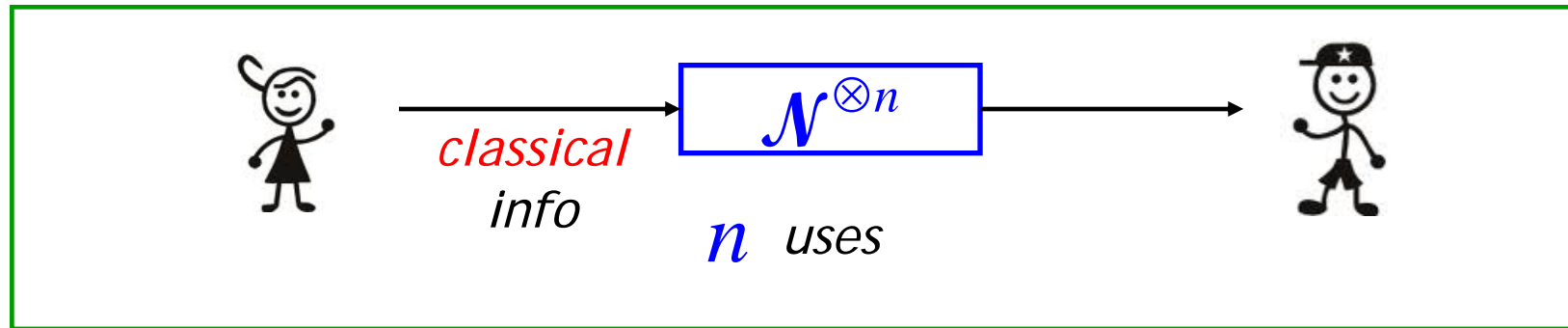
n successive uses :

$$\mathcal{N}^{(n)} = \mathcal{N}^{\otimes n}$$

- action of each use of the channel : **identical** & **independent**
for different uses
-- the **noise** affecting successive input states **uncorrelated**.

“asymptotic, memoryless setting”

- e.g. To evaluate $C(\mathcal{N})$: *classical capacity*
of a noisy quantum channel \mathcal{N}



- One requires : **prob. of error** $p_e^{(n)} \rightarrow 0$ as $n \rightarrow \infty$

Outline of the rest of the lecture

- Recall some standard definitions
- Define the relevant entropic quantities
- Description of the info-processing tasks in more detail
- Statement of results expressing
optimal rates in terms of entropic quantities
- Sketch of some proofs

- Standard Definitions

Notations & Definitions

A	\longleftrightarrow	\mathcal{H}_A
<i>quantum system</i>		<i>Hilbert space</i>

$\mathcal{L}(\mathcal{H})$: algebra of linear operators acting on \mathcal{H}

$\mathcal{P}(\mathcal{H})$: set of positive operators.....

set of density matrices (states)

$$\mathcal{D}(\mathcal{H}) = \{ \rho \in \mathcal{L}(\mathcal{H}) : \rho \geq 0, \text{Tr } \rho = 1 \}$$

■ Spectral decomposition:

$$\rho = \sum_{i=1}^d \lambda_i |\varphi_i\rangle\langle\varphi_i|;$$

λ_i
 eigenvalues

$|\varphi_i\rangle$
 eigenvectors

$$\lambda_i \geq 0, \quad \sum_{i=1}^d \lambda_i = 1$$

$\{\lambda_i\}_{i=1}^d$: probability distribution

Quantum Operations or Quantum Channels

- Any allowed physical process that a quantum system can undergo is described by a :

linear completely-positive, trace preserving (CPTP) map

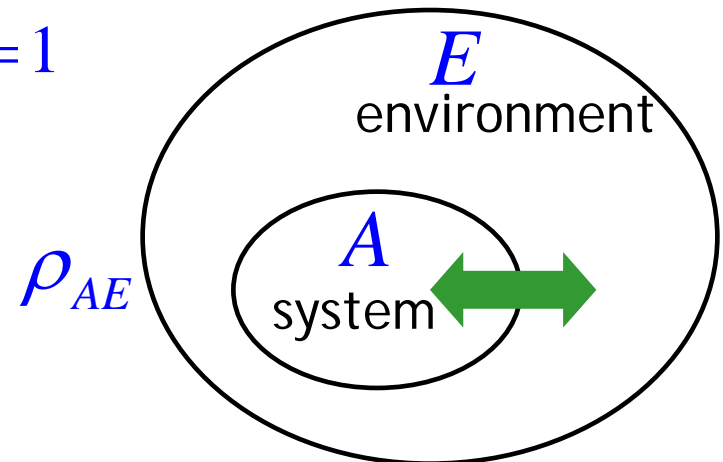


- Trace-preserving (TP): $\text{Tr } \rho' = \text{Tr } \rho = 1$

- Positive: $\rho' = \mathcal{N}(\rho) \geq 0$

- Completely positive (CP):

$$\mathcal{N} : \mathcal{D}(\mathcal{H}_A) \rightarrow \mathcal{D}(\mathcal{H}_B)$$



$(\mathcal{N} \otimes \text{id}_E)(\rho_{AE}) = \text{an allowed state of the composite system} \in \mathcal{D}(\mathcal{H}_B \otimes \mathcal{H}_E)$

$$(\mathcal{N} \otimes \text{id}_E)(\rho_{AE}) \geq 0$$

Generalized measurements - POVM:

A quantum measurement is described by a POVM

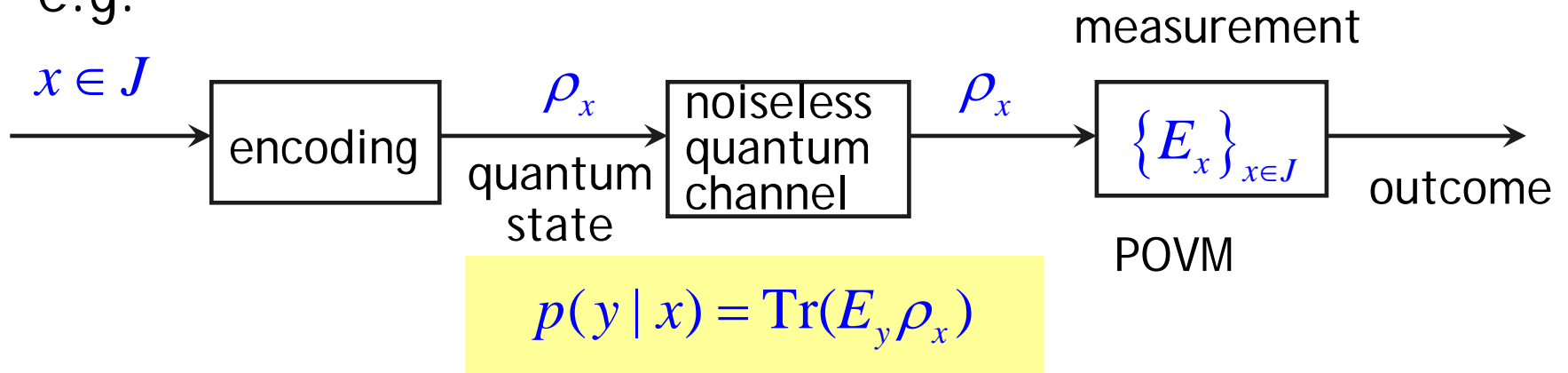
$$E = \{E_i\}; \text{ (finite set)} \quad E_i \geq 0, \quad \sum_i E_i = I$$

If the system is in a state ρ before the measurement,

Then, **probability** of getting the i^{th} **outcome** is:

$$p_i = \text{Tr}(E_i \rho)$$

■ e.g.



Purification

Any mixed state

A pure state

$$\rho_A \in \mathcal{H}_A \longleftrightarrow |\psi_{AR}\rangle \in \mathcal{H}_A \otimes \mathcal{H}_R;$$

$$\rho_A = \text{Tr}_R |\Psi_{AR}\rangle \langle \Psi_{AR}|;$$

purifying reference system

Schmidt decomposition: Any pure bipartite state

$$|\psi_{AR}\rangle \in \mathcal{H}_A \otimes \mathcal{H}_R;$$

$$|\psi_{AR}\rangle = \sum_{i=1}^d \lambda_i |i_A\rangle |i_B\rangle; \quad \lambda_i \geq 0, \sum_{i=1}^d \lambda_i^2 = 1$$

Consequences: Reduced states,

$$\rho_A := \text{Tr}_R |\psi_{AR}\rangle \langle \psi_{AR}|, \quad \rho_R := \text{Tr}_A |\psi_{AR}\rangle \langle \psi_{AR}|$$

have **identical** non-zero eigenvalues

- Entropic Quantities

Von Neumann entropy

of a state ρ :

$$S(\rho) := -\text{Tr} (\rho \log \rho)$$

 $\log \equiv \log_2$

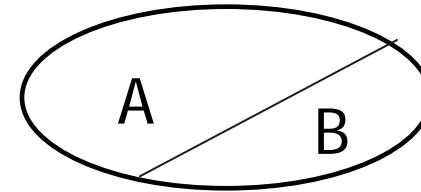
Spectral decomposition: $\rho = \sum_{i=1}^d \lambda_i |\varphi_i\rangle\langle\varphi_i|;$

$$S(\rho) := -\text{Tr} (\rho \log \rho) = -\sum_{i=1}^d \lambda_i \log \lambda_i \quad \text{Shannon entropy} = H(\{\lambda_i\})$$

- $S(\rho) = 0$ if and only if ρ is a **pure state**: $\rho = |\Psi\rangle\langle\Psi|$
- $S(\rho) \geq 0$; $S(\rho) \leq \log d$; where $d = \dim \mathcal{H}$
- $S(\rho_1 \otimes \rho_2) = S(\rho_1) + S(\rho_2)$
- If ρ_A, ρ_B are reduced states of a pure state Ψ_{AB} then,
$$S(\rho_A) = S(\rho_B)$$

Other Entropies

For a bipartite system in a state ρ_{AB} :



- Joint entropy:

$$S(\rho_{AB}) = -\text{Tr}(\rho_{AB} \log \rho_{AB})$$

- Conditional entropy:

$$S(A|B)_\rho := S(\rho_{AB}) - S(\rho_B)$$

$$\rho_B = \text{Tr}_A \rho_{AB}$$

reduced state

- Quantum mutual information:

$$I(A:B)_\rho := S(\rho_A) + S(\rho_B) - S(\rho_{AB});$$

- Quantum Relative Entropy

of ρ w.r.t. σ , $\rho \geq 0$, $\text{Tr } \rho = 1$, $\sigma \geq 0$:

$$D(\rho \parallel \sigma) := \text{Tr } \rho \log \rho - \text{Tr } \rho \log \sigma$$

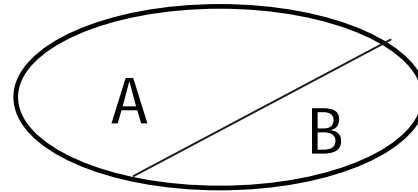
well-defined if $\text{supp } \rho \subseteq \text{supp } \sigma$

- It acts as a **parent quantity** for the *von Neumann entropy*:

$$S(\rho) := -\text{Tr } \rho \log \rho = -D(\rho \parallel I) \quad (\sigma = I)$$

- It also acts as a **parent quantity** for other entropies:

e.g. for a bipartite state ρ_{AB} :



- *Conditional entropy*

$$S(A|B) := S(\rho_{AB}) - S(\rho_B) = -D(\rho_{AB} \| I_A \otimes \rho_B)$$

- *Mutual information*

$$\rho_B = \text{Tr}_A \rho_{AB}$$

$$I(A:B) := S(\rho_A) + S(\rho_B) - S(\rho_{AB}) = D(\rho_{AB} \| \rho_A \otimes \rho_B)$$

Some Properties of $D(\rho \parallel \sigma)$

"distance"
~~*symmetric*
triangle inequality~~

$$D(\rho \parallel \sigma) \geq 0 \quad \rho, \sigma \text{ states} \dots\dots\dots(1)$$

$$= 0 \text{ if \& only if } \rho = \sigma$$

Data-processing inequality:

i.e. **monotonicity** under a quantum operation (CPTP map)

$$D(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D(\rho \parallel \sigma) \quad \dots\dots\dots(2)$$

This is a **fundamental property** ;

quantum operations never increase mutual information

Many properties of other entropies can be proved using (1) & (2)

- e.g. If $\sigma_{AB'} = (\text{id}_A \otimes \Lambda_{B \rightarrow B'})\rho_{AB}$ then $I(A:B')_{\sigma} \leq I(A:B)_{\rho}$

Further Properties of $D(\rho \parallel \sigma)$

■ Joint convexity:

For two mixtures of states $\rho = \sum_{i=1}^n p_i \rho_i$ & $\sigma = \sum_{i=1}^n p_i \sigma_i$

$$D\left(\sum_k p_k \rho_k \parallel \sum_k p_k \sigma_k\right) \leq \sum_k p_k D(\rho_k \parallel \sigma_k) \dots (a)$$

■ Invariance under joint unitaries

$$D(U \rho U^\dagger \parallel U \sigma U^\dagger) = D(\rho \parallel \sigma) \dots (b)$$

Implications for the von Neumann entropy:

$$\because S(\rho) = -D(\rho \parallel I)$$

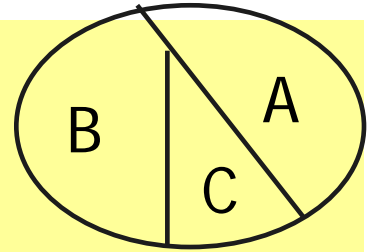
$$(a) \Rightarrow \text{Concavity: } S\left(\sum_i p_i \rho_i\right) \geq \sum_i p_i S(\rho_i)$$

$$(b) \Rightarrow \text{Invariance under unitaries: } S(U \rho U^\dagger) = S(\rho)$$

Properties of quantum entropies contd.

- **Strong subadditivity:** ρ_{ABC} tripartite state

$$S(\rho_{ABC}) + S(\rho_B) \leq S(\rho_{AB}) + S(\rho_{BC})$$



Lieb & Ruskai '73

Consequences of strong subadditivity:

- Conditioning reduces entropy

$$S(A | BC)_\rho \leq S(A | B)_\rho$$

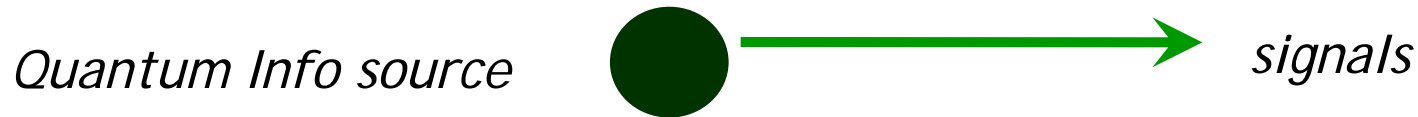
- Discarding quantum systems never increases mutual information

$$I(A : B)_\rho \leq I(A : BC)_\rho$$



- Description of the info-processing tasks in more detail
 - in the *“asymptotic, memoryless setting”*

Quantum Data Compression



signals (pure states) $|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_r\rangle \in \mathcal{H}$

with *probabilities* p_1, p_2, \dots, p_r $\langle \psi_i | \psi_j \rangle \neq \delta_{ij}$

- Then source characterized by: $\{\rho, \mathcal{H}\}$

$$\rho = \sum_{i=1}^r p_i |\psi_i\rangle \langle \psi_i|$$

density matrix

- Memoryless** quantum information source

State of n copies of the source: $\rho_n = \rho^{\otimes n}$  no correlation

Quantum data compression

- Evaluated in the **asymptotic limit** $n \rightarrow \infty$

n = number of copies/uses of the source

- emits **signals** $|\psi_1^{(n)}\rangle, |\psi_2^{(n)}\rangle, \dots, |\psi_m^{(n)}\rangle \in \mathcal{H}^{\otimes n}$
- with probs. $p_1^{(n)}, p_2^{(n)}, \dots, p_m^{(n)}$ $\langle \psi_i^{(n)} | \psi_j^{(n)} \rangle \neq \delta_{ij}$
in general
- Source State** : $\rho_n = \sum_{i=1}^m p_i^{(n)} |\psi_i^{(n)}\rangle \langle \psi_i^{(n)}|$

Compression-Decompression Scheme

- Encoding:** $\mathcal{E}_n : |\psi_i^{(n)}\rangle \langle \psi_i^{(n)}| \rightarrow \sigma_i^{(n)} \in \mathcal{D}(\mathcal{H}_c^n)$

signal

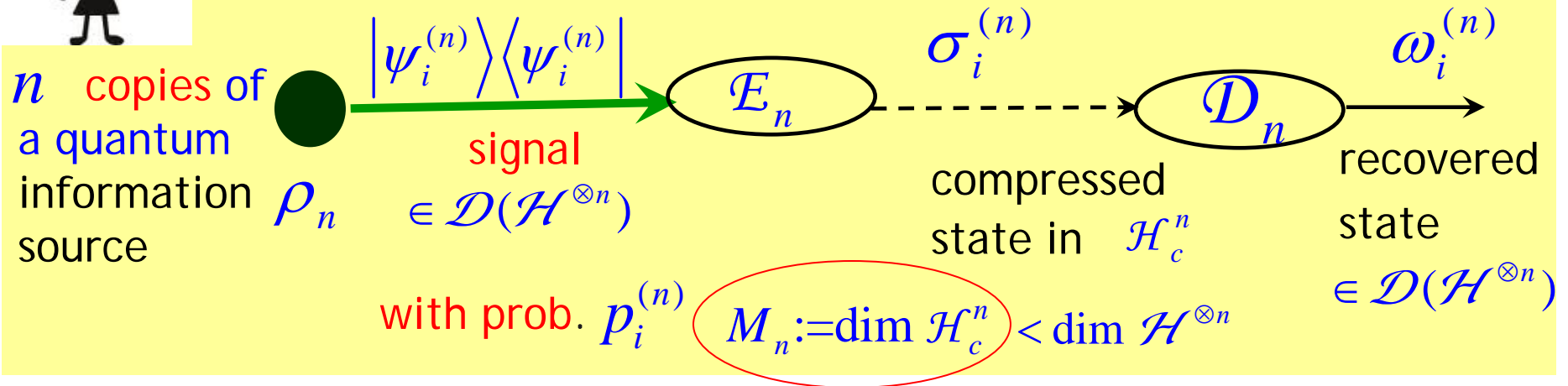
compressed
state

\swarrow
compressed
Hilbert space
- Decoding:** $\mathcal{D}_n : \sigma_i^{(n)} \rightarrow \omega_i^{(n)} \in \mathcal{D}(\mathcal{H}^{\otimes n})$

recovered signal



Quantum Data Compression or Fixed length quantum source coding



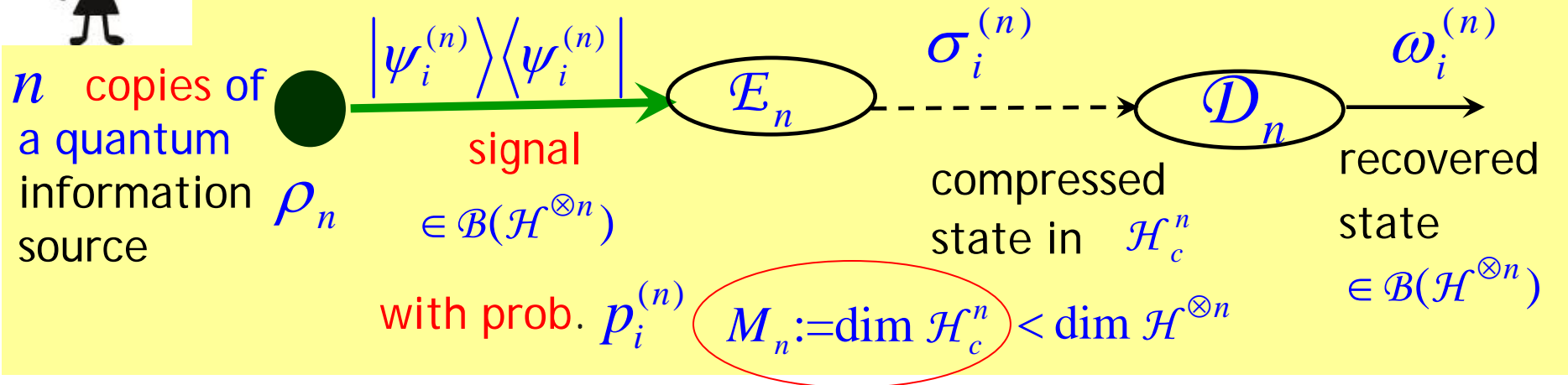
- **Ensemble average fidelity:** figure of merit used for determining reliability

$$\bar{F}_n = \sum p_i^{(n)} \langle \psi_i^{(n)} | \mathcal{D}_n \circ \mathcal{E}_n (|\psi_i^{(n)}\rangle\langle\psi_i^{(n)}|) | \psi_i^{(n)} \rangle$$

- The compression-decompression scheme is reliable if

$$\bar{F}_n \rightarrow 1 \text{ as } n \rightarrow \infty$$

Quantum Data Compression



$C_n := (\mathcal{E}_n, \mathcal{D}_n, M_n)$ defines a code of rate : $\frac{\log M_n}{n}$

- $\mathcal{E}_n, \mathcal{D}_n$ is a compression-decompression scheme of rate R

$$M_n := \dim \mathcal{H}_c^n = 2^{nR}$$

- Optimal rate of data compression: Data compression limit

$$R_{opt} := \inf \left\{ R \mid \exists a \text{ seq. of codes } C_n := (\mathcal{E}_n, \mathcal{D}_n, 2^{nR}) \text{ s.t. } \bar{F}_n \rightarrow 1 \text{ as } n \rightarrow \infty \right\}$$

Schumacher's Theorem : Quantum Data Compression

Suppose $\{\rho, \mathcal{H}\}$ is an *memoryless, quantum information source*

$$\rho_n = \rho^{\otimes n}; \quad S(\rho): \text{ von Neumann entropy}$$

- Suppose $R > S(\rho)$: then there **exists** a **reliable** compression scheme of **rate** R for the source.
- If $R < S(\rho)$ then any compression scheme of **rate** R will **not** be **reliable**.

$$R_{opt} = S(\rho):$$

Proof follows from the Typical Subspace theorem

DIGRESSION

The notion of “**typicality**”

Typical sequences and Typical Subspace Theorem

Typical Sequences

- Defn: Consider a sequence of i.i.d. random variables:

$$U_1, U_2, \dots, U_n; \quad p(u) ; u \in J$$

For any $\varepsilon > 0$, sequences $\underline{u} := (u_1, u_2, \dots, u_n) \in J^n$ for which

$$2^{-n(H(U)+\varepsilon)} \leq p(u_1, u_2, \dots, u_n) \leq 2^{-n(H(U)-\varepsilon)},$$

where $H(U) = -\sum p(u) \log p(u)$; *Shannon entropy*
are called ε – typical sequences

$T_\varepsilon^{(n)} := \varepsilon$ – typical set = set of ε – typical sequences

- Note: Typical sequences are almost equiprobable

$$\forall \underline{u} \in T_\varepsilon^{(n)}, \quad p(\underline{u}) \approx 2^{-nH(U)}$$

$$\forall \underline{u} \in T_{\varepsilon}^{(n)}, \quad p(\underline{u}) \approx 2^{-nH(U)}$$

$$U_1, U_2, \dots, U_n;$$

$$p(u); u \in J$$

(Q) Does this agree with our **intuitive notion** of typical sequences?

(A) Yes! For an i.i.d. sequence : $U_1, U_2, \dots, U_n; U_i \sim p(u); u \in J$

A **typical sequence** $\underline{u} := (u_1, u_2, \dots, u_n)$ of length n ,
is one which contains approx. $np(u)$ copies of $u, \forall u \in J$

■ **Probability of such a sequence** is approximately given by

$$\approx \prod_{u \in J} p(u)^{np(u)} = \prod_{u \in J} 2^{np(u) \log p(u)} = 2^{\sum_{u \in J} p(u) \log p(u)}$$

$$= 2^{-nH(U)}$$

Properties of the Typical Set $T_\varepsilon^{(n)}$

- Let $|T_\varepsilon^{(n)}|$: number of typical sequences
 $P(T_\varepsilon^{(n)})$: probability of the typical set

- Typical Sequence Theorem: Fix $\varepsilon > 0$, then $\forall \delta > 0$,
 and n large enough,

- $P(T_\varepsilon^{(n)}) > 1 - \delta$
- $(1 - \delta)2^{n(H(U) - \varepsilon)} \leq |T_\varepsilon^{(n)}| \leq 2^{n(H(U) + \varepsilon)}$

$$\Rightarrow J^n = T_\varepsilon^{(n)} \cup A_\varepsilon^{(n)}$$

atypical set

(disjoint union)

- sequences in the *atypical set* rarely occur

$$P(A_\varepsilon^{(n)}) \leq \delta$$

- typical sequences are almost equiprobable

Memoryless quantum information source

state of n copies
of the source $\rho_n = \sum_{i=1}^m p_i^{(n)} |\psi_i^{(n)}\rangle \langle \psi_i^{(n)}| = \rho^{\otimes n};$

$|\psi_i^{(n)}\rangle$: *signal* emitted with prob. $p_i^{(n)}$; $\langle \psi_i^{(n)} | \psi_j^{(n)} \rangle \neq \delta_{ij}$

$$\rho \in \mathcal{H}, \dim \mathcal{H} = d \quad \therefore \rho_n = \rho^{\otimes n} \in \mathcal{H}^{\otimes n}$$

Spectral decompositions:

$$\rho = \sum_{j=1}^d q_j |\varphi_j\rangle \langle \varphi_j|; \quad \rho_n = \sum_{\underline{k}} \lambda_{\underline{k}}^{(n)} |\Psi_{\underline{k}}^{(n)}\rangle \langle \Psi_{\underline{k}}^{(n)}|$$

eigenstates

$$\therefore \rho_n = \rho^{\otimes n} \Rightarrow \begin{aligned} |\Psi_{\underline{k}}^{(n)}\rangle &= |\varphi_{k_1}\rangle \otimes |\varphi_{k_2}\rangle \otimes \dots \otimes |\varphi_{k_n}\rangle \\ \lambda_{\underline{k}}^{(n)} &= q_{k_1} q_{k_2} \dots q_{k_n} \end{aligned}$$

Identification of the *label* \underline{k} as a *sequence of classical indices*

$$\underline{k} \equiv k = (k_1, k_2, \dots, k_n)$$

- sum over all possible sequences

$$\rho_n \equiv \rho^{\otimes n} = \sum_{\underline{k}} \lambda_{\underline{k}}^{(n)} \left| \Psi_{\underline{k}}^{(n)} \right\rangle \left\langle \Psi_{\underline{k}}^{(n)} \right|$$

$$\underline{k} \equiv (k_1, k_2, \dots, k_n) : \\ k_i \in \{1, 2, \dots, d\}; \quad d = \dim \mathcal{H}$$

$$\lambda_{\underline{k}}^{(n)} = q_{k_1} q_{k_2} \dots q_{k_n}$$

von Neumann entropy

$$S(\rho_n) = S(\rho^{\otimes n}) = nS(\rho) = nH(\{q_k\})$$

Probability: $p(\underline{k}) \equiv \lambda_{\underline{k}}^{(n)} = q_{k_1} q_{k_2} \dots q_{k_n}$

$\forall \varepsilon > 0$, a sequence $\underline{k} \equiv (k_1, k_2, \dots, k_n)$ is ε -typical if:

$$2^{-n(H(\{q_k\})+\varepsilon)} \leq p(\underline{k}) \leq 2^{-n(H(\{q_k\})-\varepsilon)},$$

$$2^{-n(S(\rho)+\varepsilon)} \leq p(\underline{k}) \leq 2^{-n(S(\rho)-\varepsilon)},$$

$$T_{\varepsilon}^{(n)} := \varepsilon\text{-typical set}$$

eigenvalues $\lambda_{\underline{k}}^{(n)}$
eigenvectors $\left| \Psi_{\underline{k}}^{(n)} \right\rangle$

sequences \underline{k}

$\mathcal{T}_{\varepsilon}^{(n)} := \varepsilon\text{-typical subspace}$

ε – typical subspace $\mathcal{T}_{\varepsilon}^{(n)} \subset \mathcal{H}^{\otimes n}$

- Subspace spanned by those eigenvectors

$$|\Psi_{\underline{k}}^{(n)}\rangle = |\varphi_{k_1}\rangle \otimes |\varphi_{k_2}\rangle \otimes \dots \otimes |\varphi_{k_n}\rangle \quad \text{for which } \underline{k} \in T_{\varepsilon}^{(n)}$$

- Let $P_{\varepsilon}^{(n)}$: orthogonal projection on to the typical subspace

Typical Sequence Theorem \longrightarrow Typical Subspace Theorem

Fix $\varepsilon > 0$, then $\forall \delta > 0$, and n large enough:

$$\begin{aligned} P(T_{\varepsilon}^{(n)}) &> 1 - \delta \\ (1 - \delta)2^{n(H(\{q_k\}) - \varepsilon)} &\leq |T_{\varepsilon}^{(n)}| \\ &\leq 2^{n(H(\{q_k\}) + \varepsilon)} \end{aligned}$$

$$\begin{aligned} \text{Tr}(P_{\varepsilon}^{(n)} \rho_n) &> 1 - \delta \\ (1 - \delta)2^{n(S(\rho) - \varepsilon)} &\leq \dim \mathcal{T}_{\varepsilon}^{(n)} \\ &\leq 2^{n(S(\rho) + \varepsilon)} \end{aligned}$$

Schumacher's Theorem : Quantum Data Compression

- Suppose $R > S(\rho)$: then there exists a reliable compression scheme of rate R for the source.

- Proof:

Compressed
Hilbert space \mathcal{H}_c^n ; $\dim \mathcal{H}_c^n = 2^{nR}$ $R > S(\rho)$

- Choose $\varepsilon > 0$, such that $R > S(\rho) + \varepsilon$

Fix $\delta > 0$, choose n large enough such that:

$$\text{Tr}\left(P_\varepsilon^{(n)} \rho_n\right) > 1 - \delta; \quad \dim \mathcal{T}_\varepsilon^{(n)} \leq 2^{n(S(\rho) + \varepsilon)} < 2^{nR} = \dim \mathcal{H}_c^n$$

$$\Rightarrow \mathcal{T}_\varepsilon^{(n)} \subset \mathcal{H}_c^n$$

Idea behind the compression scheme

$|\psi_i^{(n)}\rangle$: signal emitted with prob. $p_i^{(n)}$; $\langle \psi_i^{(n)} | \psi_j^{(n)} \rangle \neq \delta_{ij}$

$$|\psi_i^{(n)}\rangle = P_\varepsilon^{(n)} |\psi_i^{(n)}\rangle + (I - P_\varepsilon^{(n)}) |\psi_i^{(n)}\rangle$$

$\in \mathcal{T}_\varepsilon^{(n)}$

keep this part
unchanged

$\notin \mathcal{T}_\varepsilon^{(n)}$

map this onto
a fixed pure state

$$|\phi_0^{(n)}\rangle \in \mathcal{T}_\varepsilon^{(n)}$$

Compression
scheme

$$\mathcal{E}_n \left(|\psi_i^{(n)}\rangle \langle \psi_i^{(n)}| \right) = \tilde{\rho}_i^{(n)}$$

$$\tilde{\rho}_i^{(n)} = \alpha_i^2 |\tilde{\psi}_i^{(n)}\rangle \langle \tilde{\psi}_i^{(n)}| + \beta_i^2 |\phi_0^{(n)}\rangle \langle \phi_0^{(n)}| \in \mathcal{D}(\mathcal{T}_\varepsilon^{(n)})$$

$$|\tilde{\psi}_i^{(n)}\rangle \propto P_\varepsilon^{(n)} |\psi_i^{(n)}\rangle; \alpha_i^2 = \|P_\varepsilon^{(n)} |\psi_i^{(n)}\rangle\|^2; \beta_i^2 = \|(I - P_\varepsilon^{(n)}) |\psi_i^{(n)}\rangle\|^2$$

Decompression
scheme

$$\mathcal{D}_n \left(\tilde{\rho}_i^{(n)} \right) = \tilde{\rho}_i^{(n)} \oplus 0$$

$$\tilde{\rho}_i^{(n)} = \alpha_i^2 \left| \tilde{\psi}_i^{(n)} \right\rangle \left\langle \tilde{\psi}_i^{(n)} \right| + \beta_i^2 \left| \phi_0^{(n)} \right\rangle \left\langle \phi_0^{(n)} \right| \in \mathcal{D}(\mathcal{T}_\varepsilon^{(n)})$$

$$\alpha_i^2 = \left\| P_\varepsilon^{(n)} \left| \psi_i^{(n)} \right\rangle \right\|^2 = \left\langle \psi_i^{(n)} \left| P_\varepsilon^{(n)} \right| \psi_i^{(n)} \right\rangle$$

Ensemble average fidelity

$$\bar{F}_n = \sum_i p_i^{(n)} \left\langle \psi_i^{(n)} \left| \tilde{\rho}_i^{(n)} \right| \psi_i^{(n)} \right\rangle \geq 2 \sum_i p_i^{(n)} \alpha_i^2 - 1$$

$$> 1 - 2\delta$$

$$\begin{aligned} \sum_i p_i^{(n)} \alpha_i^2 &= \sum_i p_i^{(n)} \left\langle \psi_i^{(n)} \left| P_\varepsilon^{(n)} \right| \psi_i^{(n)} \right\rangle \\ &= \text{Tr}(P_\varepsilon^{(n)} \rho_n) > 1 - \delta; \quad (\text{by the Typical Subspace Theorem}) \end{aligned}$$

$$\Rightarrow \quad \bar{F}_n \rightarrow 1 \quad \text{as} \quad n \rightarrow \infty$$



Schumacher's Theorem : Quantum Data Compression

Suppose $\{\rho, \mathcal{H}\}$ is an *memoryless, quantum information source*

$$\rho_n = \rho^{\otimes n}; \quad S(\rho): \text{ von Neumann entropy}$$

- Suppose $R > S(\rho)$: then there **exists** a **reliable** compression scheme of **rate** R for the source.
- If $R < S(\rho)$ then any compression scheme of **rate** R will **not** be **reliable**.

(See Cambridge lecture notes)

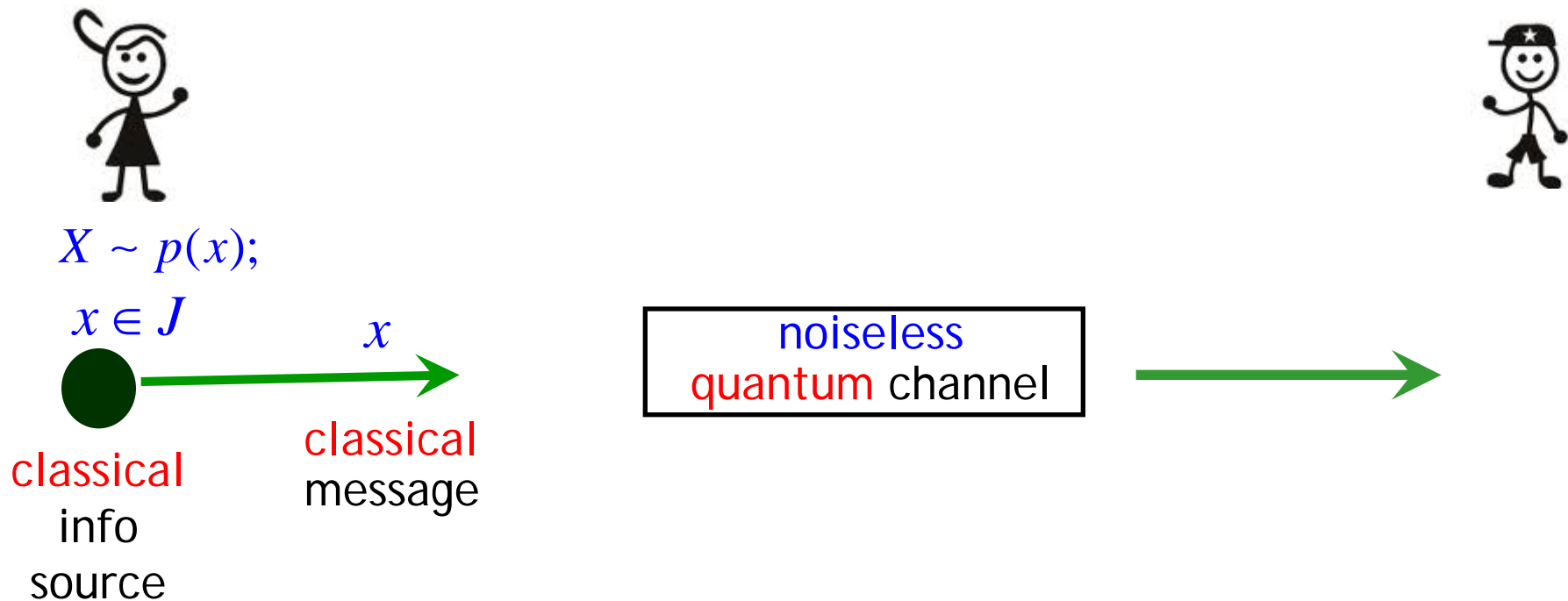
*Schumacher proved (1995): for a **memoryless** source*

$$\{\rho, \mathcal{H}\}$$

*Data compression limit = $S(\rho)$: von Neumann entropy
of the source*

Transmission of information

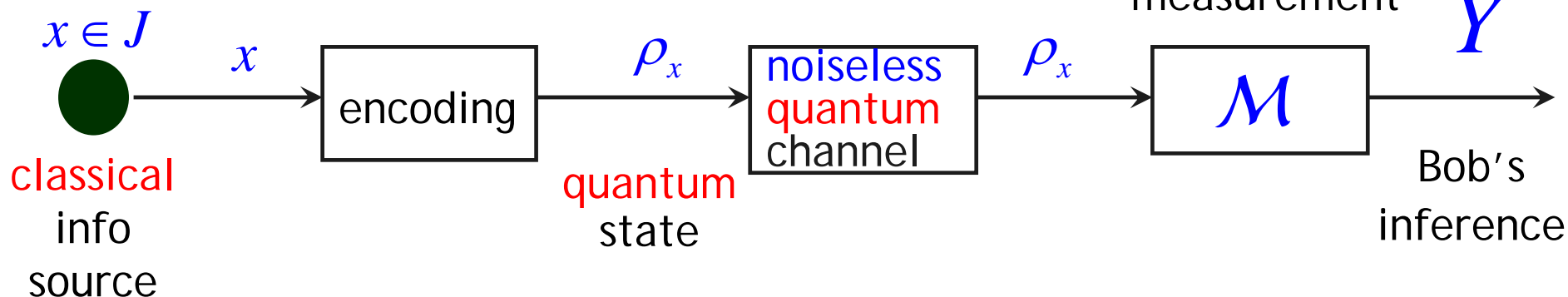
Transmission of **classical info** through a **noiseless quantum channel**



Accessible Information



$$X \sim p(x);$$



- Bob receives the ensemble: $\mathcal{E} = \{p(x), \rho_x\}$

The maximum amount of info Bob can extract by doing any measurement

Accessible Information:

$$I_{acc}(\mathcal{E}) = \max_{\mathcal{M}} I(X : Y)$$

(classical)
mutual info

Holevo Bound

$$I_{acc}(\mathcal{E}) \leq \chi(\{p(x), \rho_x\})$$

The maximum amount of info Alice can send to Bob
using the ensemble $\mathcal{E} = \{p(x), \rho_x\}$

- Holevo χ -quantity of an ensemble of states $\{p_i, \sigma_i\}$

$$\chi(\{p(x), \rho_x\}) := S\left(\sum_x p(x) \rho_x\right) - \sum_x p(x) S(\rho_x)$$

If the ρ_x are pure :

$$\chi(\{p(x), \rho_x\}) = S(\rho); \text{ where } \rho := \sum_x p(x) \rho_x$$

Holevo Bound: sketch of proof

Idea: Use **strong subadditivity**: need a tripartite system

Embed the classical r.v. X in a dummy quantum system A ; \mathcal{H}_A

$\{|x\rangle : x \in J\}$: orthonormal basis in \mathcal{H}_A

- A : a **quantum register**; keeps a record of the classical symbol x which Alice wants to send to Bob
- Q : the **quantum system** in whose states ρ_x Alice **encodes her messages**
- B : a quantum system representing Bob's **measuring device**; originally in some pure state $|0\rangle\langle 0|_B$

- Initial state:
$$\rho_{AQB} = \left(\sum_x p(x) |x\rangle\langle x|_A \otimes \rho_x \right) \otimes |0\rangle\langle 0|_B$$

Holevo Bound: sketch of proof

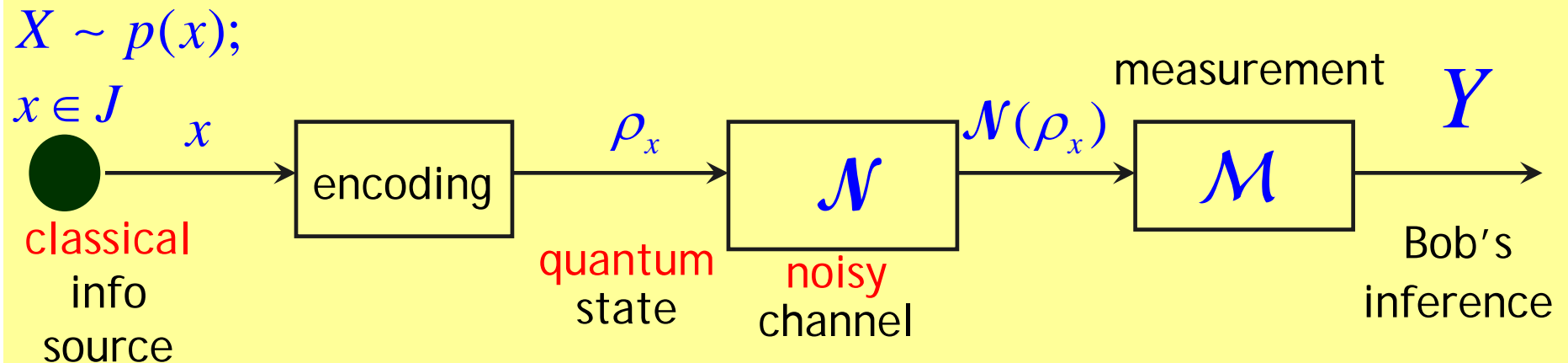
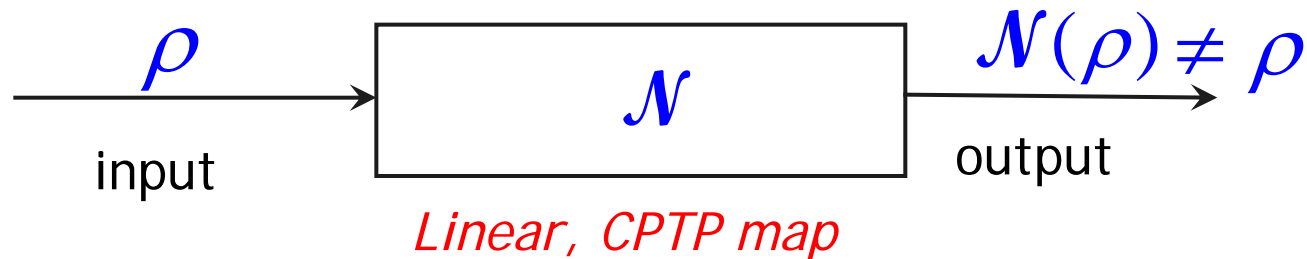
- Initial state:
$$\rho_{AQB} = \left(\sum_x p(x) |x\rangle\langle x|_A \otimes \rho_x \right) \otimes |0\rangle\langle 0|_B$$
- Bob's measurement \mathcal{M} : POVM $E = \{E_x\}_{x \in J}$;
- State after measurement
$$\rho_{A'Q'B'} = \sum_{x,y} p(x) |x\rangle\langle x|_{A'} \otimes \sqrt{E_y} \rho_x \sqrt{E_y} \otimes |y\rangle\langle y|_{B'}$$
 - $I(A:Q) = I(A:QB)$
 - $I(A:QB) \geq I(A':Q'B')$
 - $I(A':Q'B') \geq I(A':B')$

$$\longrightarrow I(A':B') \leq I(A:Q)$$

$$I(X:Y) \leq \chi(\{p(x), \rho_x\}) \quad \forall \mathcal{M}$$

$$I_{acc}(\mathcal{E}) = \max_{\mathcal{M}} I(X:Y) \leq \chi(\{p(x), \rho_x\}) \quad \text{Holevo Bound}$$

Transmission of classical info through noisy quantum channels

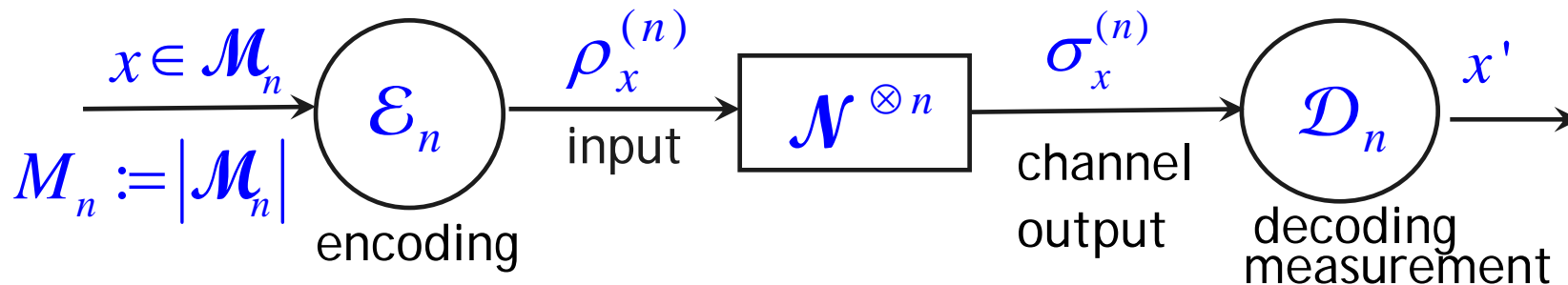
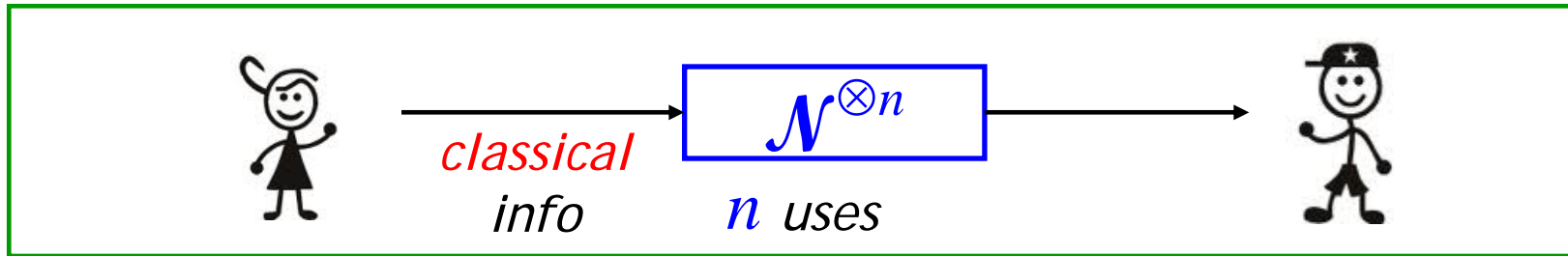


- Bob receives the ensemble: $\mathcal{E} = \{p(x), \mathcal{N}(\rho_x)\}$

Holevo bound $I_{acc}(\mathcal{E}) \leq \chi(\{p(x), \mathcal{N}(\rho_x)\})$

(Q) Is the Holevo bound achievable? Yes, in the *asymptotic, memoryless setting*

classical info transmission through a noisy quantum channel \mathcal{N}



- Measurement: POVM $\{E_x^{(n)}\}$ $\sigma_x^{(n)} = \mathcal{N}^{\otimes n}(\rho_x^{(n)})$
- Probability (Bob infers x correctly) = $\text{Tr}(E_x^{(n)} \sigma_x^{(n)})$
- Average probability of error:

$$p_{av}^{(n)} = \frac{1}{|\mathcal{M}_n|} \sum_{x \in \mathcal{M}_n} \left[1 - \text{Tr}(E_x^{(n)} \sigma_x^{(n)}) \right]$$

If $p_{av}^{(n)} \rightarrow 0$ as $n \rightarrow \infty$: information transmission is
.....(1) reliable

If number of bits
of message sent:

$$\log M_n \approx 2^{nR} \quad \& (1) \text{ holds, then}$$

R : an achievable rate $R = \liminf_{n \rightarrow \infty} \frac{\log |M_n|}{n}$

Classical capacity of the quantum channel

$$C(\mathcal{N}) = \sup R$$

--the supremum taken over all achievable rates

A quantum channel has many capacities

- The **different capacities** depend on:
 - the nature of the transmitted information
(**classical** or **quantum**)
 - the nature of the input states
(**entangled** or **product states**)
 - the nature of the measurements done on the outputs
(**collective** or **individual**)
 - the presence or absence of any additional resource
(e.g. **prior shared entanglement** between Alice & Bob)
 - whether Alice & Bob are allowed to **communicate classically** with each other

- Capacities evaluated in the “**asymptotic memoryless setting**”

$$\Phi^{(n)} = \Phi^{\otimes n}; \quad n \rightarrow \infty$$

- If Alice restricts the **inputs** to **product states**, i.e., if

$$x \rightarrow \rho_x^{(n)} = \rho_{x_1} \otimes \rho_{x_2} \otimes \dots \otimes \rho_{x_n}$$

- And Bob does a **collective measurement** (POVM) on

$$\begin{aligned} \sigma_x^{(n)} &:= \mathcal{N}^{\otimes n} \left(\rho_x^{(n)} \right) : \text{the output of } n \text{ uses of the channel} \\ &= \mathcal{N}(\rho_{x_1}) \otimes \mathcal{N}(\rho_{x_2}) \otimes \dots \otimes \mathcal{N}(\rho_{x_n}) \end{aligned}$$

Capacity : **product state capacity** $C_p(\mathcal{N})$

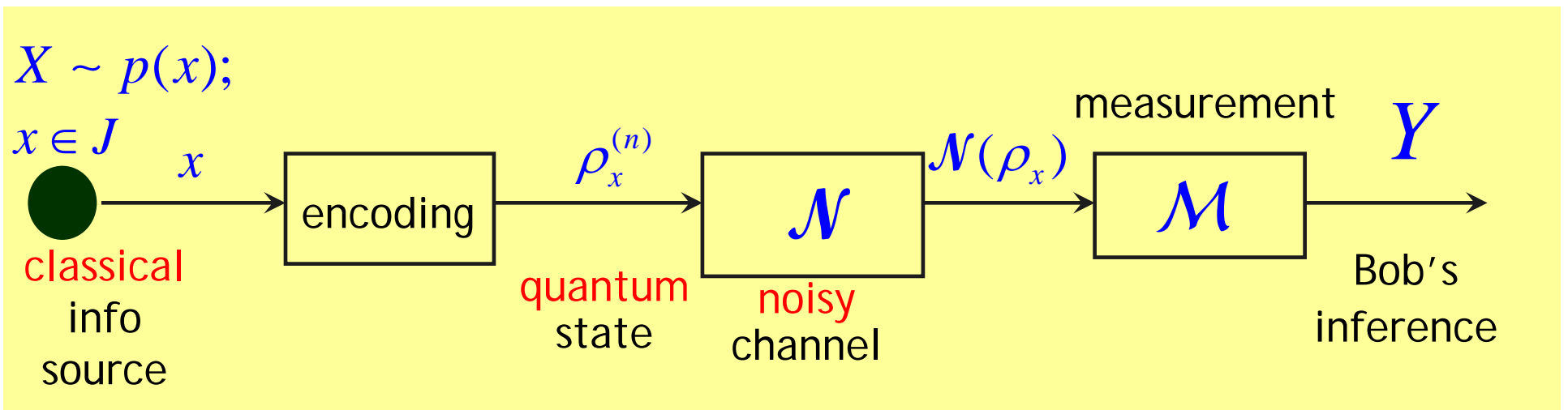
- Holevo-Schumacher-Westmoreland (HSW) Theorem

$$C_p(\mathcal{N}) = \max_{\{p_i, \rho_i\}} \chi(\{p_i, \mathcal{N}(\rho_i)\}) = \chi^*(\mathcal{N})$$

*Holevo
Capacity*

- Can be expressed as a **relative entropy**

Classical info transmission through noisy quantum channels



- Bob receives the ensemble: $\mathcal{E} = \{p(x), \mathcal{N}(\rho_x)\}$

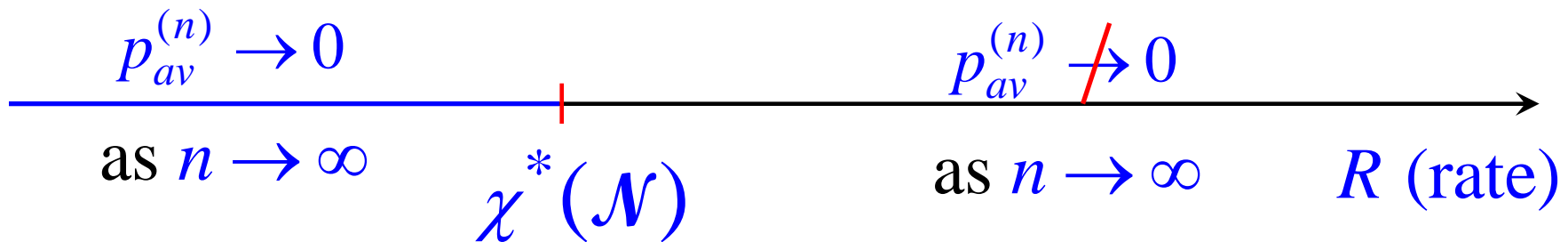
$$I_{acc}(\mathcal{E}) \leq \chi(\{p(x), \mathcal{N}(\rho_x)\})$$

HSW Theorem

$$C_p(\mathcal{N}) = \max_{\{p_i, \rho_i\}} \chi(\{p_i, \mathcal{N}(\rho_i)\}) = \chi^*(\mathcal{N})$$

*Holevo
Capacity*

Holevo bound can be *achieved* in the “asymptotic memoryless setting”
IF Alice uses *product state inputs* & Bob does a *collective measurement*




- **Classical capacity** of a **memoryless** channel \mathcal{N} :
(without the restriction of inputs being product states):

$$C(\Phi) = \lim_{n \rightarrow \infty} \frac{1}{n} \chi^* (\mathcal{N}^{\otimes n})$$

*regularised Holevo
capacity*

$\chi^* (\mathcal{N}^{\otimes n})$ *Holevo Capacity* of the block $\mathcal{N}^{\otimes n}$ of n channels

(This *generalization* is obtained by considering *inputs* which are *product states over blocks of n channels* but which may be *entangled within each block*)



(Q) Can the *classical capacity* of a *memoryless* quantum channel be *increased* by using *entangled states* as *inputs* ?

$$C(\Phi) = \lim_{n \rightarrow \infty} \frac{1}{n} \chi^* (\mathcal{N}^{\otimes n})$$

→ (Q) Can the *classical capacity* of a *memoryless* quantum channel be *increased* by using *entangled states* as *inputs*?


- This is related to the *additivity conjecture* of the *Holevo capacity*:

$$\chi^* (\mathcal{N}_1 \otimes \mathcal{N}_2) = \chi^* (\mathcal{N}_1) + \chi^* (\mathcal{N}_2) \Rightarrow \chi^* (\mathcal{N}^{\otimes n}) = n \chi^* (\mathcal{N})$$

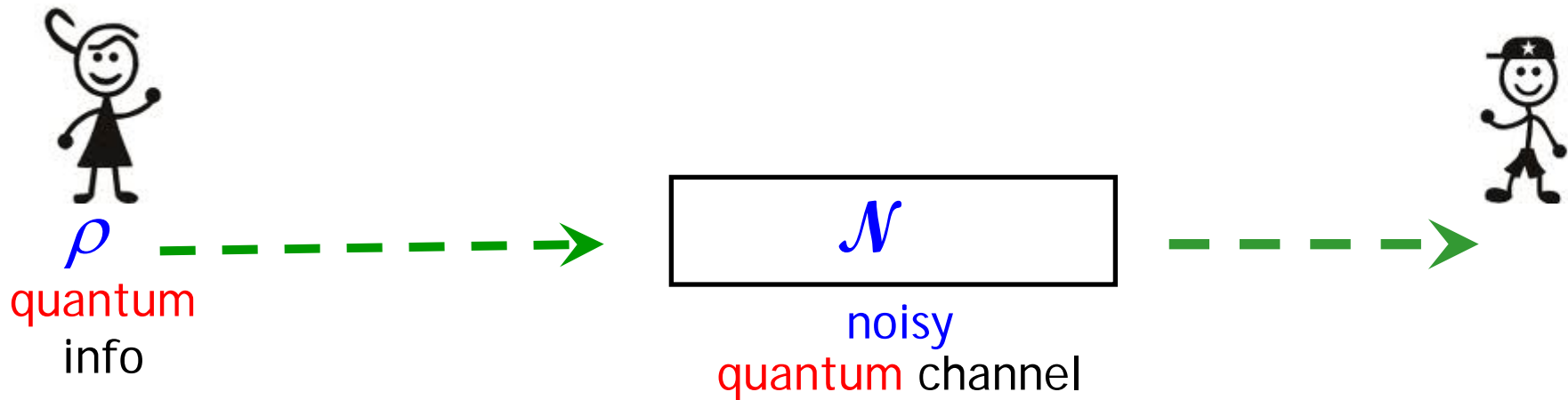
$$\Rightarrow C(\Phi) = \lim_{n \rightarrow \infty} \frac{1}{n} \chi^* (\mathcal{N}^{\otimes n}) = \lim_{n \rightarrow \infty} \frac{1}{n} \cancel{n} \chi^* (\mathcal{N}) = \chi^* (\mathcal{N}) = C_p (\mathcal{N})$$

- IF the *Holevo capacity* is *additive* then using *entangled inputs* would *not increase* its *classical capacity*!

- Additivity conjecture **disproved** by Matt Hastings 2008

 *Using entangled inputs might help in transmitting classical information through a quantum channel*

Transmission of Quantum Information



- **Quantum capacity** : *max. rate at which **qubits** can be transmitted reliably*
 $Q(\mathcal{N})$
- *Evaluated in the “asymptotic memoryless setting”*

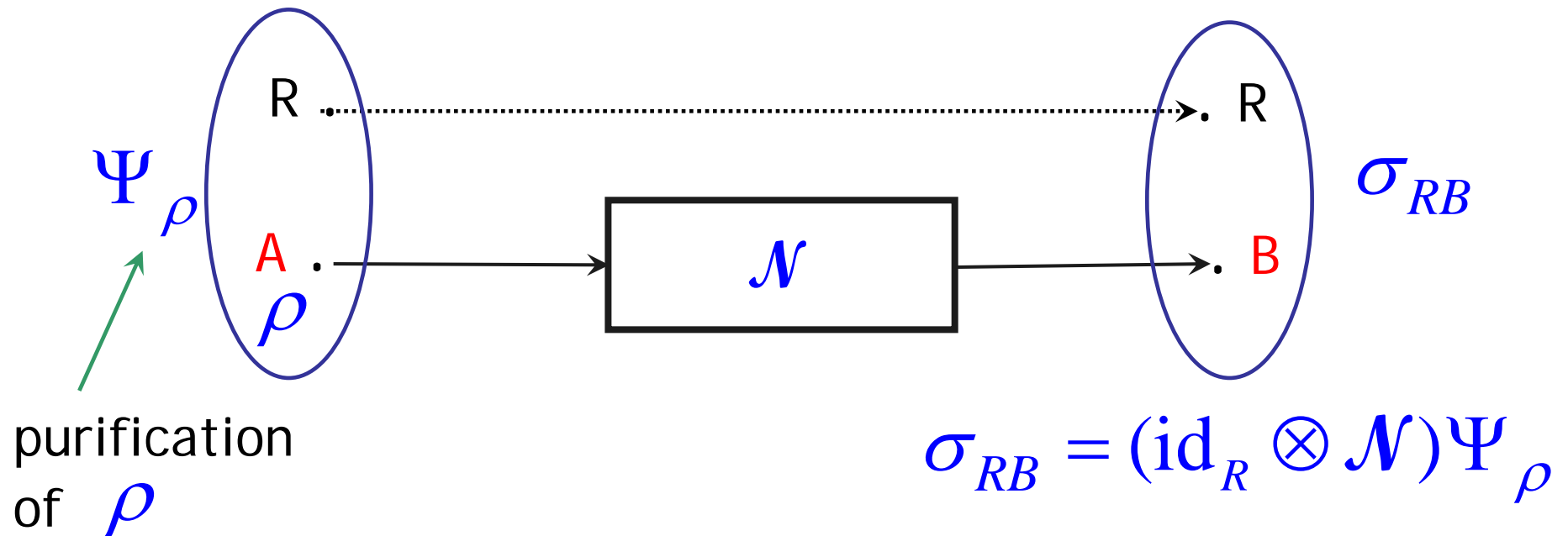
(LSD theorem)

$$Q(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} \max_{\rho^{(n)}} I_{coh} \left(\rho^{(n)}, \mathcal{N}^{\otimes n} \right)$$

← coherent information

Quantum Capacity

- Given in terms of the *coherent information*: $I_{coh}(\rho, \mathcal{N})$



coherent information

$$I_{coh}(\rho, \mathcal{N}) = -S(\sigma_{RB}) + S(\sigma_B) = -S(R|B)_\sigma$$

Quantum Capacity

- For a **memoryless channel**

(LSD theorem)

$$Q(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} \max_{\rho^{(n)}} I_{coh} \left(\rho^{(n)}, \mathcal{N}^{\otimes n} \right)$$

*Regularised
Coherent information*

In next lecture and example session:

- Discussion of **degradable channels**
- Proof of the fact that the **coherent info is additive for degradable channels**